



January 25, 2007

Dr. Axel Wolff, MS, DVM
Director, Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health
RKL1, Suite 360
6705 Rockledge Drive, MSC 7982
Bethesda, MD 20892-7982

Dear Dr. Wolff:

We are reporting two incidences that have occurred recently at the University of Wisconsin-Madison.

The first is a follow-up to a verbal report made on June 11, 2006, regarding the deaths of several voles. The voles died of heat stress (as determined by pathology) when an exhaust fan malfunctioned. Although there were temperature sensors for this room integrated with the building's alarm system, these did not alert on-call staff to the problem in time. Further investigation led to the conclusion that it was not possible to devise a fail-safe method for adequate temperature monitoring and alarm notification in this area, so animals are no longer housed there. This incident was reported to USDA as well. This project has no NIH funding.

In a second incident, a whistleblower report was received in April 2006 following an adverse event during anesthesia of a nonhuman primate. On April 28, an investigation was launched by the IACUC, which included a laboratory visit and an in-depth review of all surgical and anesthesia records. As a result, the committee required the investigator to: consult with a veterinary anesthesiologist and a laboratory animal veterinarian regarding appropriate anesthesia methodologies; amend the protocol to include changes in procedures as advised by the anesthesiologist and laboratory animal veterinarian; amend the protocol to include all personnel involved in the anesthetic and surgical procedures; ensure that less-experienced personnel receive additional training as provided by Research Animal Resources Center (RARC) ; and to consult with an RARC trainer about appropriate record-keeping practices. As of July 10, the investigator had not presented evidence that he had complied with these requirements. At that time, the IACUC voted to suspend the protocol; there were no animals assigned to it at this time, nor had there been any animal use since the adverse event in April. As of November 3, the committee had reinstated the protocol, approved the amendments, and required additional oversight during the first few procedures. This project has no NIH funding.

Graduate School

Bascom Hall University of Wisconsin-Madison 500 Lincoln Drive Madison, Wisconsin 53706-1380

Deans' Office 608/262-1044 Fax: 608/262-5134	Graduate Admissions & Academic Services, Diversity Resources 608/262-2433. Fax: 608/265-9505	Accounting 608/262-5835 Fax: 608/262-5134	Human Resources 608/262-5802 Fax: 608/262-5235	Outreach & Graduate Student Professional Development 608/262-1044, Fax: 608/262-5134
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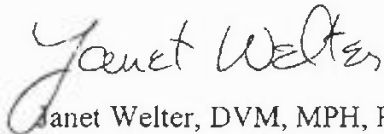
Dr. Axel Wolff, MS, DVM

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If you have further questions or concerns, please contact Dr. Welter at 608/265-2695.

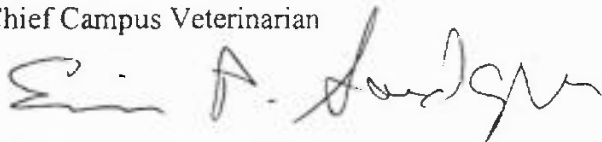
Sincerely,



Janet Welter, DVM, MPH, PhD

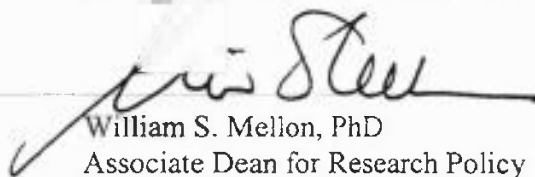
Diplomate ACLAM

Chief Campus Veterinarian



Eric Sandgren, DVM, PhD

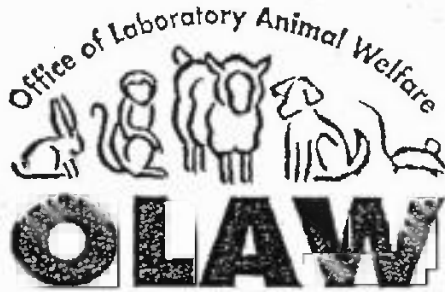
Acting Director, Animal Care and Use Program



William S. Mellon, PhD

Associate Dean for Research Policy

A3368-11



Initial Report of Noncompliance

Date: 6/8/06

Time: 11:00

Name of Person reporting: Dr Janet Welter
 Telephone #: 608 265 2695
 Fax #:
 Email:

Name of Institution: U of Wisconsin-Madison
 Assurance number: A3368

Did incident involve PHS funded activity? _____
 Funding component: _____
 Was funding component contacted (if necessary): _____

What happened?
20 voles died when greenhouse overhotted

Species involved: voles - wild caught
 Personnel involved:
 Dates and times:
 Animal deaths: 20

Projected plan and schedule for correction/prevention (if known): _____

Projected submission to OLAW of final report from Institutional Official:

OFFICE USE ONLY
 Case # _____



Full Assurance Agreement Printout

Assurance Number: A3368-01 Prior Assurance Number: A1457
Institution Name: Univ. of Wisconsin-Madison
Institution Address: Madison, WI Site: 00

Dates: Conditional Data Due: AAALAC Status: 1
Effective: 08/09/2004 PHS Grant / Contract #:
Expiration: 07/31/2008 Last Modified: 4/20/2006 By: sm
AR Reporting Cycle: 01/2007

Chairman: Dr. Eric P. Sandgren Degree: Ph.D.
Title: Associate Professor
Address: Phone: (608) 263-8870 Ext:
3450 Veterinary Medicine Bldg.-2015 Linden Fax: (608) 263-9748
Madison, WI 53706

Email:

Official: Dr. William S. Mellon
Title: Associate Dean for Research Policy
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327 Bascom Hall-500 Lincoln Drive Fax: (608) 262-5134
Madison, WI 53706-1380
Email: .wsmellon@bascom.wisc.edu

POC:
Title:
Address: Phone: () Ext:
Fax: ()
Email:

National Institutes of Health
Office of Laboratory Animal Welfare

OLAW

11/16/06 9:50

left voicemail for
Dr. Walter. *SWM*

11/16/06 12:30

She returned call +
will prepare letter
for I O S sig ASAP *SWM*